

11/02/01 11:29 U.S. PTO

12-17-01

A

Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:  
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Date: November 2, 2001  
Attorney Docket No.: 450100-3642.1

ASSISTANT COMMISSIONER FOR PATENTS  
Box Patent Application  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

Yoichiro SAKO, Isao KAWASHIMA, Yoshitomo OSAWA, Akira KURIHARA, Shozo MASUDA

entitled:

SIGNAL RECORDING/REPRODUCING METHOD AND APPARATUS, SIGNAL RECORD MEDIUM AND  
SIGNAL TRANSMISSION/RECEPTION METHOD AND APPARATUS

☒ Continuing Application

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
of prior application serial no. 09/172,962, filed October 14, 1998 which  
is a Divisional of application serial no. 08/698,089, filed August 15,  
1996.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

☒ The prior application is assigned of record to SONY CORPORATION.

The following are enclosed:

☒ Specification ( 38 pages)

☒ 10 Sheet(s) of Drawings

☒ 16 Claim(s) (including 6 independent claim(s))

☐ This application contains a multiple dependent claim

☒ Our check for \$ 992.00, calculated on the basis of the claims existing in the prior application (less any claims canceled herein) as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$740.00 (\$370.00)	\$ 740.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	-0-
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each: 3	252.00
Multiple Dependent Claim Fee at \$270.00 (\$140.00)	-0-
Total Filing Fee	\$ 992.00

☐ Assignment Recording Fee \$40.00 -0-

☐ This application is being filed within the month following the expiration of the term originally set therefor in the prior application. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed. A copy of this paper is being submitted in parent application Serial No. as the Petition for Extension of time.

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Patent Application Transmittal

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

450100-3642.3

- ☒ Oath or Declaration and Power of Attorney  
    \_\_\_ New   \_\_\_ signed   \_\_\_ unsigned  
☒ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

\_\_\_ Signed Statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))

Power of Attorney or Correspondence Address Change

- ☒ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The new power of attorney is to William S. Frommer, Reg. No. 25,506. The new correspondence address is:

FROMMER LAWRENCE & HAUG, LLP.  
745 FIFTH AVENUE, 10TH FLOOR  
NEW YORK, NEW YORK 10151

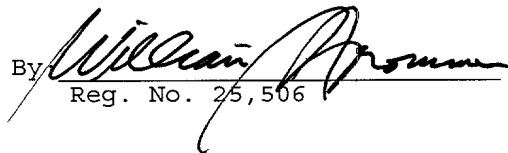
- ☒ Incorporation by Reference (for continuation or divisional application) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ A Preliminary Amendment is enclosed.  
(Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☒ Cancel in this application original claims 2-72 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ New formal drawings are enclosed.
- ☒ Certified copy of each foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 08/698,089, filed August 15, 1996. A list of said foreign priority application(s) is provided below. Acknowledgement thereof is requested.

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
7-217921	25 August 1995	Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants  
WILLIAM S. FROMMER

By   
Reg. No. 25,506

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
CHANGE OF CORRESPONDENCE ADDRESS FOR PATENTS

Continuation of Serial No. 09/172,962

Applicants : Yoichiro SAKO, et al.  
Filed : Herewith  
For : SIGNAL RECORDING/REPRODUCING METHOD AND  
APPARATUS, SIGNAL RECORD MEDIUM AND SIGNAL  
TRANSMISSION/RECEPTION METHOD AND APPARATUS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:


A copy of the Declaration as filed in the parent application is also submitted with this Continuation Application. However, the declaration lists Applicants' Attorney's old address. Please change the Correspondence Address for this application to:

William S. Frommer, Esq.  
Frommer Lawrence & Haug LLP  
745 Fifth Avenue, 10th Fl.  
New York, New York 10151  
Tel No: (212) 588-0800  
Fax No: (212) 588-0500

I am the attorney of record.

Typed or Printed

Name: William S. Frommer, Reg. No. 25,506

Signature: 

Date: November 2, 2001

"Express Mail" mailing label number EV001420211US

Date of Deposit November 2, 2001

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Charles Jackson  
(Typed or printed name of person mailing paper or fee)

Charles Jackson  
(Signature of person mailing paper or fee)